

ATTORNEY DOCKET NO.

11321-P068WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of: James M. Tour

Serial No.: 10/561,253

Filing Date: June 21, 2004

Art Unit: 1754

Examiner: Unknown

Title: *Polymerization Initiated at the Sidewalls of Carbon Nanotubes*

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the references cited in the attached PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.

Applicant does not believe that any fees are due at this time; however, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P068WOUS).

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11321-P068WOUS



Respectfully submitted,

Date: March 19, 2007

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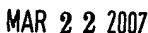
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I hereby certify that the attached *Information Disclosure Statement* and cited art are being deposited with the USPS, with sufficient postage as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 19<sup>th</sup> day of March, 2007.

3/19/07  
Date

J. E. Dunn  
Signature

901998v.1 11321/P068WOUS



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Attorney Docket Number	11321-P068WOUS

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

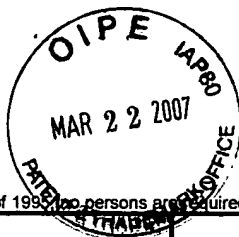
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
	2	WO 2002/60812	08/08/02	Tour et al.		
	3	WO 2004/046031	06/03/04	Rensselaer		

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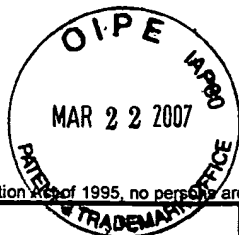
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	4	Ebbesen et al., "Large-scale Synthesis of carbon nanotubes", 358 Nature (1992), pgs. 220-222	
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	10	Hafner et al., "Catalytic growth of single-wall carbon nanotubes from metal particles", 296 Chem. Phys. Lett. (1998), pgs. 195-202	
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	14	Vander Wal et al., "Flame and Furnace Synthesis of Single-Walled and Multi-Walled..", 105(42) J. Phys. Chem. B. (2001), pgs. 10249-10256	
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	25	Dyke, et al., "Solvent-Free Functionalization of Carbon Nanotubes," 125 J. Am. Chem. Soc. (2003), pgs. 1156 -1157	
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	33	Shaffer, et al., "Polystyrene grafted multi-walled carbon nanotubes", Chem. Comm. (09/12/02), pp. 2074-2075	

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